



**FirstCaribbean**  
International Bank

FirstCaribbean International Bank Limited  
Michael Mansoor Building  
PO Box 503,  
Warrens, St. Michael BB22026  
Barbados

Tel (246) 367-2500  
Fax (246) 421-9514

February 25, 2022

**BY EMAIL**

Ms. Alousia Faisal  
Secretary  
Eastern Caribbean Securities Regulatory Commission  
Bird Rock  
Basseterre  
St. Kitts

Dear Madam,

***Re: FirstCaribbean International Bank Limited  
Issuer Registration Statement Form RS - 2***

Enclosed is the duly completed and executed Registration Statement RS-2 which is submitted for your attention in fulfillment of Section 97(4) of the Securities Act, 2001.

Please sign and email to [Catherine.drummond@cibcfib.com](mailto:Catherine.drummond@cibcfib.com) a copy of this letter in acknowledgement of your receipt of the enclosure.

Yours faithfully,

Catherine Drummond  
Manager, Legal, Stock Exchange & Board Affairs

Schedule 2

**ISSUER REGISTRATION STATEMENT**  
Sections 97(2), 97(3) and 97(4) of the Securities Act, 2001

**FORM RS - 2**

Select One:  Annual Registration  Issue of Securities

FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

\_\_\_\_\_  
(Exact name of Company as set forth in Certificate of Incorporation)

Place and date of incorporation:

BARBADOS MAY 07, 1993

Street and postal address of registered office:

MICHAEL MANSOOR BUILDING, WARRENS

ST. MICHAEL

Company telephone number: ( <sup>246</sup> ) \_\_\_\_\_ 367-2500

Fax number: ( <sup>246</sup> ) \_\_\_\_\_ 424-8977

Email address: www.cibcfib.com

Financial year-end: OCTOBER 31 2021  
(month) (day) (year)

Contact person(s): CHERYL WOOD  
ASSISTANT GROUP CORPORATE SECRETARY

Telephone number (if different from above): ( <sup>246</sup> ) \_\_\_\_\_ 367-2244

Fax number: ( <sup>246</sup> ) \_\_\_\_\_ 421-9514

Email address: cheryl.wood@cibcfib.com

Did the company file all reports required to be filed by Section 98 of the Securities Act, 2001 during the preceding 12 months?

Yes

No

Did the company file all reports required to be filed by the Companies Act during the preceding 12 months?

Yes

No

**1. Description of the Industry in which the Company Operates**

CIBC FirstCaribbean is a relationship bank offering a full range of market leading financial services through our Corporate and Investment Banking, Retail and Business Banking and Wealth Management segments. We are located in sixteen (16) countries around the Caribbean, providing the banking services through approximately 2,800 employees, in 64 branches and offices. We are one of the largest regionally listed financial services institutions in the English and Dutch speaking Caribbean, with US\$13 billion in assets and market capitalization of US\$1 billion. We also have a representative office in Hong Kong that provides business development and relationship management for our fund administration. The face of banking is changing throughout the world and CIBC FirstCaribbean intends to lead these changes with the expertise, integrity and knowledge gained from banking in the Caribbean since 1836.

**2. Exchanges on which the Company's Securities are Listed**

<b>Exchange(s)</b>	<b>Securities Type</b>	<b>No. of Shares</b>	<b>Valuation</b>
Barbados Stock Exchange Inc.	COMMON	1,577,094,570	
Trinidad and Tobago Stock Exchange	COMMON	1,577,094,570	

**3. Description of Securities Being Offered (including who is the Issuer and who is the Offeror of the Securities)**

NOT APPLICABLE

**4. Territories in which Securities are Being Offered**

<b>Territory</b>	<b>Effective Date</b>
NOT APPLICABLE	

**5. Description of Share Capital**

a) Authorised

<b>TYPE/CLASS</b>	<b>No. OF SHARES</b>
COMMON SHARES - VOTING	UNLIMITED

b) Issued

<b>TYPE/CLASS</b>	<b>No. OF SHARES</b>
COMMON	1,577,094,570

c) Outstanding

<b>TYPE/CLASS</b>	<b>No. OF SHARES</b>
NOT APPLICABLE	

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: JACQUELINE DELORES BEND

Position: MANAGING DIRECTOR, BAHAMAS OPERATING COMPANY

Age: 51

Mailing Address: 2<sup>nd</sup> Floor Shirley Street Branch

Shirley Street, Nassau

The Bahamas

Telephone No.: (242)-302-6042

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

April 2021 – Present	-Managing Director, Bahamas Operating Company FirstCaribbean International Bank (Bahamas) Limited Accountable for the operations and performance of The Bahamas and Turks & Caicos businesses
December 2018- March 2021-	Director, Retail and Business Banking Channels CIBC FirstCaribbean International Bank
February 13- November 2018-	Director, Sales & Branch Operations CIBC FirstCaribbean International Bank
2007-2013	- Head of Reconciliation & Card Operations CIBC FirstCaribbean International Bank

Education (degrees or other academic qualifications, schools attended, and dates):

2020- Director of Business Administration, Leadership- Walden University- Minneapolis, Minnesota
2014- Master of Business Administration (Distinction)- University of Leicester- Leicester, England
2015- Masters Certificate, Strategic organizational Leadership & Change- University of Michigan- Michigan, USA
2009- Bachelor of Commerce, Financial Services- Nippissing University- Nippissing, Canada
2012 Certified Professional Life Coach- World Coaching Institute- Boca Raton, Florida
1998- Associate of Canadian Bankers- Institute of Canadian Bankers

Also a Director of the company    [ ] Yes    [ ✓ ] No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

\_\_\_\_\_

## BIOGRAPHICAL DATA FORMS

## EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY

Name: KHADIJA BOURNE Position: CHIEF AUDITOR

Age: 48

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED  
MICHAEL MANSOOR BUILDING, WARRENS  
ST. MICHAEL, BARBADOS

Telephone No.: 246-367-2212

List jobs held during past five years (including names of employers and dates of employment).  
 Give brief description of **current** responsibilities.

2017 to 2021 - Director, Operational Risk  
 2013 to 2017 - Associate Director, Control Activities & LOB Support  
 2011 to 2013 - Manager, Risk Analysis  
 2009 to 2011 - Manager, Regional Operations  
 2004 to 2009 - Manager, Barbados Regional Processing Centre

Education (degrees or other academic qualifications, schools attended, and dates):

2013 - Chartered Banker MBA - University of Bangor, UK  
 2008 - MBA - General - Durham University

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: NEIL BRENNAN

Position: CHIEF ADMINISTRATIVE OFFICER

Age: 53

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING, WARRENS

ST. MICHAEL, BARBADOS

Telephone No.: (246)-367-2524

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2018 – Present	Chief Administrative Officer, CIBC FirstCaribbean International Bank
2015 – 2018	Managing Director Human Resources, CIBC FirstCaribbean International Bank Limited
2012 – 2015	Director, Financial Integration & Operations, Human Resources, CIBC FirstCaribbean International Bank, responsible for all of the Bank's compensation and benefits programmes, management of the Bank's pension plans and for delivering HR operational support across the Caribbean.
2009 – 2012	Global Vice President, Human Resources, AET Led the development and execution of the strategic HR plan for the organization across all business lines globally (US, Europe, Middle East & Asia), aligned to group vision and to support the delivery of corporate objectives.
2004 – 2009	Director, Compensation, Benefits & HR Operations, FirstCaribbean International Bank Limited Following the merger of two very different banks, as a member of the HR leadership team, developed and executed HR strategy for the newly formed bank, covering reward, talent management, employee engagement and operations in a heavily unionized environment

Education (degrees or other academic qualifications, schools attended, and dates):

Professional Qualifications:	
1996	Fellow of the Faculty of Actuaries
1997	Associate of the Pensions Management Institute
University:	
1985 – 1989	Heriot-Watt University, Edinburgh BEng in Electrical and Electronic Engineering, 2:1
Education:	
1980 – 1985	Leith Academy Secondary School, Edinburgh

Also a Director of the company      [ ] Yes      [  ] No



APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: BRIAN CLARKE

Position: GENERAL COUNSEL & GROUP CORPORATE  
SECRETARY

Age: 60

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING, WARRENS

ST. MICHAEL, BARBADOS

Telephone No.: (246)-367-2537

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

June 12 - Present	General Counsel & Group Corporate Secretary, FirstCaribbean International Bank Limited
1986 - May 2012	Attorney-at-Law, Clarke Gittens Farmer (Attorneys-at-Law)

Education (degrees or other academic qualifications, schools attended, and dates):

1984 - University of the West Indies- LLB (Hons)
1986 - Norman Manley Law School, Legal Education Certificate

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: COLETTE DELANEY Position: CHIEF EXECUTIVE OFFICER

Age: 60

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED  
MICHAEL MANSOOR BUILDING, WARRENS  
ST. MICHAEL, BARBADOS

Telephone No.: 246-367-2530

List jobs held during past five years (including names of employers and dates of employment).  
 Give brief description of **current** responsibilities.

2018 - Present - Chief Executive Officer, FirstCaribbean International Bank Limited, Barbados  
 2017 - 2018 - Chief Operating Officer, FirstCaribbean International Bank Limited, Barbados  
 May 2017 - Managing Director and Head of Regional Country Management, Strategy and Marketing, FirstCaribbean International Bank Limited  
 2013 - 2017 - Chief Risk and Administrative Officer, FirstCaribbean International Bank Limited Barbados  
 2011 - 2013 - Executive Vice President, Mortgage Lending, Insurance and Deposit Products, CIBC Retail and Business Banking, Toronto  
 2009 - 2011 - Senior Vice President, Mortgages Lending and Insurance, CIBC Retail and Business Banking, Toronto  
 2001 - 2009 - Senior Vice President, GICs Deposits & Payments, CIBC Retail and Business Banking, Toronto  
 2000 - 2001 - Vice President, Commercial Banking, CIBC World Markets, Toronto

Education (degrees or other academic qualifications, schools attended, and dates):

1980 - 1984 - Master of Arts, Modern Languages, St. Anne's College, University of Oxford, Oxford, UK  
 1989 - Award received for 12th place overall on a national basis, Chartered Institute of Bankers London, UK  
 1993 - 1995 - Master of Business Administration (Graduated with distinction top of year and Thesis awarded distinction mark), Cass Business School, City University, London, UK

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: NIGEL HOLNESS

Position: MANAGING DIRECTOR – JAMAICA OPERATING  
COMPANY

Age: 53

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK (JAMAICA) LIMITED

23-27 KNUTSFORD BOULEVARD

KINGSTON 5, JAMAICA

Telephone No.: (876)-935-4912

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2010 – Present	Managing Director, FirstCaribbean International Bank (Jamaica) Limited
2007 – 2010	Country Treasurer, FirstCaribbean International Bank (Jamaica) Limited Responsible for the Balance Management, Liquidity, Product Sales, Marketing and Governance
1999 – 2007	Senior Dealer, FirstCaribbean International Bank Limited Managed soft currency, liquidity for the entire region, provided senior management reports on market activities (forecast)

Education (degrees or other academic qualifications, schools attended, and dates):

1993	Diploma, Management Studies – Jamaica Institute of Management (accreditation from the U.W.I) and Sundry Banking, Treasury and Financial Instruments
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Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: CARL LEWIS Position: CHIEF FINANCIAL OFFICER

Age: 52

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED  
MICHAEL MANSOOR BUILDING, WARRENS  
ST. MICHAEL, BARBADOS

Telephone No.: 246-367-2516

List jobs held during past five years (including names of employers and dates of employment).  
 Give brief description of **current** responsibilities.

April 01, 2021 - Present	- Chief Financial Officer, FirstCaribbean International Bank Limited
July 01, 2018 – March 31, 2021	- Chief Auditor, FirstCaribbean International Bank Limited Accountable for Internal Audit activities. Report to the Audit Committee and Senior Executive Team (SET) audit results
January 2014 - June 2018	- Chief Accountant - Finance, FirstCaribbean International Bank Limited Accountable for all statutory reporting, up to consolidated financial statements Oversee Group audit, all technical accounting policy/development and tax matters
January 2006 - December 2013	- Director, Corporate Credit Products - Corporate Investment Banking, FirstCaribbean International Bank Limited Corporate Banking Director, Southern Caribbean - Corporate Investment Banking, FirstCaribbean International Bank Limited Led Corporate Banking business comprising of \$1.2B loan portfolio, \$1.0B deposit base and 50 staff

Education (degrees or other academic qualifications, schools attended, and dates):

2008 - FirstCaribbean Executive Leadership Program, The Wharton School, University of Pennsylvania  
 2005 - Executive Education Program, The CFO: Becoming a Strategic Partner, The Wharton School, University of Pennsylvania  
 General Accountants' of Canada (CGA)/Chartered Professional Accountants' of Canada (CPA)  
 Fellow of the Institute of Chartered Accountants' of Barbados

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: MARK MCINTYRE

Position: MANAGING DIRECTOR, CAYMAN OPERATING COMPANY

Age: 55

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK (CAYMAN) LIMITED

25 MAIN STREET

GEORGE TOWN, CAYMAN ISLANDS

Telephone No.: (345)-815-2404

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2012 – Present	FirstCaribbean International Bank (Cayman) Limited - Managing Director, Cayman Islands, BVI and Platinum Banking
2007 – 2011	HSBC Bank (Cayman) Limited, Head of Business Development – Caribbean Markets, Head of Corporate Banking
2002 – 2007	FirstCaribbean International Bank, Wealth Management Director & Corporate Secretary (Cayman)

Education (degrees or other academic qualifications, schools attended, and dates):

2005	The Wharton School, University of Pennsylvania, Philadelphia Certificate of Professional Development
1995	University of Strathclyde Graduate School of Business, Glasgow, Scotland Masters of Business Administration (MBA)
1988	McMaster University, Hamilton, Ontario, Canada Bachelor of Arts (BA)

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

**APPENDIX I**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: PATRICK MCKENNA

Position: CHIEF RISK OFFICER

Age: 60

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING

WARRENS, ST. MICHAEL, BARBADOS

Telephone No.: 246-367-2507

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2017 - Present	Chief Risk Officer, FirstCaribbean International Bank Limited, Barbados
2014 - 2017	Senior Vice-President, Wealth Risk Management, Risk Management, CIBC, New York
2011 - 2013	Managing Director, Chief Risk Officer, JP Morgan Asset Management, New York
2010 - 2011	Managing Director, Chief Risk Officer, Deutsche Bank Americas, New York
2007 - 2010	Managing Director, Global Head Credit Risk Management for FIs, Securitization, Private Wealth and Emerging Markets, Deutsche Bank, New York

Education (degrees or other academic qualifications, schools attended, and dates):

2003 - Other, Senior Executive Program, Columbia University
1984 - Bachelors, Political Science - University of California, Los Angeles (UCLA)

Also a Director of the company       Yes       No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: ESAN PETERS

Position: CHIEF INFORMATION OFFICER AND MANAGING  
DIRECTOR TECHNOLOGY & OPERATIONS

Age: 46

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED  
MICHAEL MANSOOR BUILDING, WARRENS  
ST. MICHAEL, BARBADOS

Telephone No.: (246)-467-3398

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

February 01 – Present	Chief Information Officer and Managing Director Technology & Operations FirstCaribbean International Bank Limited
July 2017 – Dec. 2017	Deputy Chief Information Officer & Senior Director Technology FirstCaribbean International Bank Limited
Nov 2014 – June 2017	Director, Technology Application Delivery – FirstCaribbean International Bank Limited
Nov 2010 – Oct 2014	Associate Director, Technology Application Delivery FirstCaribbean International Bank Limited

Education (degrees or other academic qualifications, schools attended, and dates):

University of the West Indies – BSc. (Hons) Mathematics & Computer Science
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Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

**BIOGRAPHICAL DATA FORMS**

APPENDIX 1

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: ANTHONY SEERAJ

Position: MANAGING DIRECTOR – TRINIDAD

OPERATING COMPANY

Age: 59

Mailing Address: FirstCaribbean International Bank (Trinidad & Tobago) Limited

74 Long Circular Road, Maraval

Trinidad and Tobago

Telephone No.: 868-497-3812

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

Jan 2008 to present - Managing Director, FirstCaribbean International Bank (Trinidad and Tobago) Limited

Current Responsibilities:

- Primary accountability for the overall financial, reputational and market performance in Trinidad
- Responsible for overseeing the management of day-to-day business and the interface with the line of business and support functions of the FirstCaribbean Group through the Trinidad Country Management Committee and co-ordinate input from the Country Management Committee.

Education (degrees or other academic qualifications, schools attended, and dates):

1986-1989 - School Of Accounting - Associate Of The Chartered Institute of Bankers

1998-2000 - University of Lincoln- MBA-Finance

Also a Director of the company       Yes       No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*



APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: MARK ST. HILL

Position: MANAGING DIRECTOR, RETAIL & BUSINESS  
BANKING

Age: 52

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING, WARRENS

ST. MICHAEL, BARBADOS

Telephone No.: (246)-367-2525

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

June 2013 – Present - Managing Director, Retail & Business Banking, CIBC FirstCaribbean International Bank  
October 2012 – June 2013 - Managing Director and Barbados Country Head, FirstCaribbean International Bank (Barbados) Limited  
January 2012 – October 2012 - Director, International Banking, FirstCaribbean International Bank  
May 2011 – January 2012 – Director Corporate Banking Sales, FirstCaribbean International Bank (Barbados) Limited

Education (degrees or other academic qualifications, schools attended, and dates):

2017 Masters Certificate Program in Financial Services Leadership  
(Schulich School of Business, York University in conjunction with CIBC)  
2006 FirstCaribbean Executive Leadership Training – (Wharton Business School)  
2011 Fellow F.C.I.S.  
1998 The Institute of Chartered Secretaries & Administrators (A.C.I.S.)

Also a Director of the company  Yes  No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: WILLEM M. VAN DER BURG

Position: MANAGING DIRECTOR, CORPORATE AND  
INVESTMENT BANKING

Age: 54

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

WILLEMSTED,

CURACAO, NETHERLANDS ANTILLES

Telephone No.: 011-5999-433-8342

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2008 – Present	Managing Director, Corporate Investment Banking, FirstCaribbean International Bank Limited
2006 – 2008	Country Manager Curacao/Head Wealth Management, FirstCaribbean International Bank Limited

Education (degrees or other academic qualifications, schools attended, and dates):

2007 – 2008	University of Pennsylvania – Wharton School, Executive Program
2005	INSEAD, Strategic Management in Banking
1985 – 1990	Erasmus University Rotterdam School of Law, Master of Laws (LLM)
	Partners, Directors & Senior Officers Course, Canadian Securities Institute

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

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*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: DONNA WELLINGTON

Position: MANAGING DIRECTOR, BARBADOS OPERATING COMPANY

Age: 50

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING, WARRENS

ST. MICHAEL, BARBADOS

Telephone No.: (246)-467-8715

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

June 2013 – Present	Managing Director, Barbados Operating Company, FirstCaribbean International Bank Limited
June 2012 – May 2013	Head, Corporate Credit Recoveries (Regional) Based in The Bahamas, FirstCaribbean International Bank Limited
June 2011 – May 2012	Sector Specialist Hospitality & Senior Coverage – Bahamas (Regional), FirstCaribbean International Bank Limited
2009 – 2011	Relationship Manager & Sector Specialist – Hospitality and Real Estate (Inv. Banking), FirstCaribbean International Bank Limited
2007 – 2009	Manager, Corporate Finance (Hospitality/Real Estate/Financial Institutions) (Regional)

Education (degrees or other academic qualifications, schools attended, and dates):

2000 – 2005	Qualified Accountant, Certified General Accountants' Association of Canada (CGA)
1999	Certificate in Mutual Funds, Barbados Community College
1991 – 1995	BSc. Accounting (Hons.) University of the West Indies, Barbados
1987 – 1989	Advanced Level Accounting, Barbados Community College

Also a Director of the company     Yes     No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

APPENDIX 1

**BIOGRAPHICAL DATA FORMS**

**EXECUTIVE OFFICERS AND OTHER KEY PERSONNEL OF THE COMPANY**

Name: DANIEL R. WRIGHT

Position: MANAGING DIRECTOR WEALTH MANAGEMENT

Age: 58

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

25 MAIN STREET,

GEORGE TOWN, CAYMAN ISLANDS

Telephone No.: (345)-914-9401

List jobs held during past five years (including names of employers and dates of employment).  
Give brief description of **current** responsibilities.

2013 – Present	Managing Director, Wealth Management CIBC FirstCaribbean International Bank
	Defined, planned and executed the strategy to build and grow the private wealth management business across the region for multiple jurisdictions for FirstCaribbean
2006 – 2012	Scotiabank, Toronto, Canada
	Senior Vice President & Head, International Wealth Management and Chairman, Bank of Nova Scotia Trust Co. (Bahamas) Ltd
	Defined, planned and executed the strategy to build and grow the wealth management group of business internationally to a \$100M (NIAT) business

Education (degrees or other academic qualifications, schools attended, and dates):

1999 -	The Wharton School, University of Pennsylvania, Securities Industry Association Institute
1990 -	Canadian Securities Institute, Fellow of the Canadian Securities Institute
1986 -	Wilfrid Laurier University, Waterloo Ontario, Bachelor of Business Administration

Also a Director of the company       Yes       No

If retained on a part time basis, indicate amount of time to be spent dealing with company matters:

*Use additional sheets if necessary.*

## APPENDIX 2 - BIOGRAPHICAL DATA FORMS

### DIRECTORS OF THE COMPANY

Name: CHRISTOPHER DE CAIRES

Position: INDEPENDENT DIRECTOR

Age: 66

Mailing Address: #1 WARRENS TERRACE WEST  
ST. THOMAS, BARBADOS

Telephone No.: 246-253-7575

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

July 01, 2006 - Present	- Chairman and Managing Director, Fednav International Limited
July 01, 2002 - Present	- Managing Director, De Caires & Co. Inc.
January 01, 2000 - June 30, 2002	- Senior Vice President, Interamericana Trading Corporation

Education (degrees or other academic qualifications, schools attended, and dates):

Fellow of the Institute of Chartered Accountants of Barbados, qualifying originally with the Institute of Chartered Accountants in England and Wales

Masters Degree in Business Administration - Henley Management College, United Kingdom

*Use additional sheets if necessary.*

## APPENDIX 2 - BIOGRAPHICAL DATA FORMS

### DIRECTORS OF THE COMPANY

Name: COLETTE CHRISTINE DELANEY

Position: NON-INDEPENDENT DIRECTOR

Age: 60

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING, WARRENS

ST. MICHAEL, BARBADOS

Telephone No.: (246)-367-2530

List jobs held during past five years (include names of employers and dates of employment).

Give brief description of **current** responsibilities

- |                          |   |
|--------------------------|---|
| 2018 - Present           | - Chief Executive Officer, FirstCaribbean International Bank Limited, Barbados  |
| Dec. 08, 2017 - 2018     | - Chief Operating Officer, FirstCaribbean International Bank Limited, responsible for the performance of the bank's functional business lines, in addition to her current oversight of Regional Country Management, Marketing and Strategy.   |
| May 01, 2017 - Nov. 2017 | - Managing Director and Head of Regional Country Management, Strategy and Marketing - responsible for ensuring the bank remains client focused with the appropriate mix of sales, client service and sound governance throughout the Caribbean. Holds responsibility for the bank's Marketing & Communications and Strategy teams |
| 2015 - April 30, 2017    | - Chief Risk and Administrative Officer - with responsibility for the Bank's Risk, Controls and Legal Departments, as well as Corporate Security.   |

- |  |
|--|
| - MBA - City University Business School, London  |
| - Bachelor of Arts degree - St. Anne's College, University of Oxford, United Kingdom           |
| - Designation of Associate of the Chartered Institute of Bankers in the United Kingdom in 1989 |

*Use additional sheets if necessary.*

## APPENDIX 2 - BIOGRAPHICAL DATA FORMS

### DIRECTORS OF THE COMPANY

Name: ROBERT FRENTZEL

Position: NON-INDEPENDENT DIRECTOR

Age: 57

Mailing Address: CIBC BANK USA

120 LASALLE STREET, CHICAGO, ILLINOIS

UNITED STATES OF AMERICA

Telephone No.: (312) 564-1238

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

June 2017 – Present -	Executive Managing Director, CIBC Bank USA President of Commercial Banking – oversee a number of commercial banking lending teams.
October 2007 – June 23 2017	Managing Director, The PrivateBank President of specialized industries – oversee a number of commercial banking lending teams.
August 1996 – October 2007	Group Senior Vice President, Lasalle Bank Managed a number of industry specific commercial lending teams.

Education (degrees or other academic qualifications, schools attended, and dates):

1994 – Northwestern University – Kellogg School of Management – Masters of Business Administration in Finance and Marketing

Miami University - Bachelor of Science in Business Administration

## APPENDIX 2 - BIOGRAPHICAL DATA FORMS

### DIRECTORS OF THE COMPANY

Name: CRAIG ANTHONY GOMEZ

Position: INDEPENDENT DIRECTOR

Age: 65

Mailing Address: NASSAU, THE BAHAMAS

Telephone No.: (242)-356-4114

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

April 2002 – Present - Managing Partner, BakerTilly, Nassau, Bahamas  
Practiced as a Chartered Accountant (Effective December 3, 2018, the firm was rebranded to BakerTilly. Prior to that, it was Baker Tilly Gomez.

1990 – 2002 – Partner – Gomez & Gomez, Nassau, The Bahamas

Education (degrees or other academic qualifications, schools attended, and dates):

1974 – 1978 – Minnesota State University, Mankato – Bachelor of Science degree in Accounting

1981 – qualified as a Certified Public Accountant in the United States of America.

*Use additional sheets if necessary.*

*Member of the Bahamas Institute of Chartered Accountants.*

*Member of the American Institute of Certified Public Accountants.*

*Member of INSOL, an international organization of Insolvency Practitioners.*



**APPENDIX 2 - BIOGRAPHICAL DATA FORMS**

**DIRECTORS OF THE COMPANY**

Name: WAYNE LEE

Position: NON-INDEPENDENT DIRECTOR

Age: 47

Mailing Address: FLAT 1, PARK VIEW, 83-86 PRINCE ALBERT ROAD, LONDON, NW8 7RU, UNITED KINGDOM

Telephone No.: +44(0)20-7234-6285

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

2018 to present - Managing Director and Head, Europe & Asia Pacific Region

2014 to 2018 - Managing Director and Head of Asia Pacific Region, Hong Kong

2010 to 2014 - Chief Financial Officer, Wholesale Banking

Education (degrees or other academic qualifications, schools attended, and dates):

1995 Bachelor of Commerce, Accounting and International Business - McGill University

## APPENDIX 2 - BIOGRAPHICAL DATA FORMS

### DIRECTORS OF THE COMPANY

Name: BRIAN MCDONOUGH

Position: NON-INDEPENDENT DIRECTOR

Age: 65

Mailing Address: 6 HARTFIELD COURT  
TORONTO, ONTARIO  
CANADA M9A 3E3

Telephone No.:

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

2008 - May 2019 (Retired)	- Executive Vice-President, CRO, Global Credit Risk Management, CIBC  Led CIBC's Corporate and Commercial Adjudication globally and was responsible for assessment, adjudication and monitoring of credit risk in Wholesale Banking and Commercial Banking for CIBC.
July 2008	- Executive Vice President, Wholesale Credit and Investment Risk Management
July 1983 - December 2005	- Executive Vice President & Senior Vice President Special Loans Risk Management Head of High Risk Loan Management Group

Education (degrees or other academic qualifications, schools attended, and dates):

1983 - Master of Business Administration - University of Toronto, Major: Finance/Accounting
1981 - Master of Science (Biochemistry) - University of Alberta
1978 - Bachelor of Science (Honours Biochemistry) - McGill University

*Use additional sheets if necessary.*

**APPENDIX 2 - BIOGRAPHICAL DATA FORMS**

**DIRECTORS OF THE COMPANY**

Name: ACHILLES PERRY

Position: NON-INDEPENDENT DIRECTOR

Age: 57

Mailing Address: 3 EAST 85<sup>TH</sup> STREET, NEW YORK, NY  
10010023, UNITED  
10023, UNITED STATES OF AMERICA

Telephone No.:

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

2017 to present - Vice President, General Counsel of CIBC Capital Markets (US, UK & Asia

2017 to present - General Manager - CIBC New York Branch

2017 to present - General Counsel, CIBC World Markets Corp.

Education (degrees or other academic qualifications, schools attended, and dates):

1986 - B.A. cum laude - Brandeis University

1989 - J.D. - Fordham University School of Law

**APPENDIX 2 - BIOGRAPHICAL DATA FORMS**

**DIRECTORS OF THE COMPANY**

Name: PAULA RAJKUMARSINGH

Position: INDEPENDENT DIRECTOR

Age: 56

Mailing Address: MASSY HOLDINGS LIMITED

5<sup>TH</sup> FLOOR, #63 PARK STREET

PORT OF SPAIN, TRINIDAD & TOBAGO

Telephone No.:

List jobs held during past five years (include names of employers and dates of employment).  
Give brief description of **current** responsibilities

2018 - October 31, - Senior Vice President, Projects of Massy Holdings Ltd.  
2020 (Recently retired)

2005 - 2017 - Group Financial Controller Neal & Massy Holdings Ltd.

1991 - 2005 - Group Financial Controller/Group Chief Accountant - Neal & Massy Holdings Ltd.

Education (degrees or other academic qualifications, schools attended, and dates):

Fellow Member of Association of Certified Accountants

*Use additional sheets if necessary.*

**APPENDIX 2 - BIOGRAPHICAL DATA FORMS**

**DIRECTORS OF THE COMPANY**

Name: DAVID RITCH

Position: INDEPENDENT DIRECTOR

Age: 70

Mailing Address: 177 VIENNA CIRCLE

SOUTH SOUND

GRAND CAYMAN

Telephone No.:

List jobs held during past five years (include names of employers and dates of employment).

Give brief description of **current** responsibilities

December 1983 - July 20, 2021 - Attorney-at-Law - Ritch & Conolly, Grand Cayman  
(recently retired)

January 1977 - November 1979 - Former Clerk of Courts, Crown Counsel and Senior Crown Counsel,  
Cayman Islands Government

Education (degrees or other academic qualifications, schools attended, and dates):

1976 - LLB. (Hons.) Knox and St. George's Colleges, Jamaica, University of the West Indies, admitted to the bar in  
England as Barrister-at-Law and Cayman Islands as Attorney-at-Law

*Use additional sheets if necessary.*

## APPENDIX 2 - BIOGRAPHICAL DATA FORMS

### DIRECTORS OF THE COMPANY

Name: MARK ST. HILL

Position: NON-INDEPENDENT DIRECTOR

Age: 52

Mailing Address: FIRSTCARIBBEAN INTERNATIONAL BANK LIMITED

MICHAEL MANSOOR BUILDING

WARRENS, ST. MICHAEL

Telephone No.: 246-367-2525

List jobs held during past five years (include names of employers and dates of employment).

Give brief description of **current** responsibilities

June 2013 - Present	-	Managing Director, Retail & Business Banking, CIBC FirstCaribbean International Bank
October 2012 - June 2013	-	Managing Director and Barbados Country Head, FirstCaribbean International Bank (Barbados) Limited
January 2012 - October 2012	-	Director, International Banking, FirstCaribbean International Bank
May 2011 - January 2012	-	Director Corporate Banking Sales, FirstCaribbean International Bank (Barbados) Limited

Education (degrees or other academic qualifications, schools attended, and dates):

2017	-	Masters Certificate Program in Financial Services Leadership (Schulich School of Business/York University in conjunction with CIBC)
2006	-	FirstCaribbean Executive Leadership Training - (Wharton Business School)
2011	-	Fellow F.C.I.S.
1998	-	The Institute of Chartered Secretaries & Administrators (A.C.I.S)

*Use additional sheets if necessary.*

**8. SUBSTANTIAL SHAREHOLDERS**

- (a) Principal owners of the company (those who beneficially own more than 5% of the common and preferred shares presently outstanding whether directly or indirectly) starting with the largest common shareholder. Indicate by endnote any transaction where the consideration was not cash. State the nature of any such consideration.

**SECURITIES NOW HELD:**

<b>Name: Address:</b>	<b>Class of Shares:</b>	<b>No. of Shares:</b>	<b>% of Total</b>
CIBC INVESTMENTS (CAYMAN) LIMITED	COMMON SHARES	1,445,725,257	91.67%
GEORGE TOWN			
GRAND CAYMAN			
CAYMAN ISLANDS			

- (b) Include all common shares issuable upon conversion of convertible securities and show conversion rate per share as if conversion has occurred.

**UPON CONVERSION:**

<b>Name: Address:</b>	<b>Class of Shares:</b>	<b>Conversion Rate:</b>	<b>No. of Shares upon Conversion</b>	<b>% of Total*</b>
NOT APPLICABLE				

\* Current holding of shares if conversion option were exercised.

**9. Name and Address of Parent**

<b>Name:</b>	<b>Address:</b>	<b>Country of Incorporation:</b>	<b>Countries of Registration (where applicable)</b>	<b>Name of the Exchange(s) on which the company's securities are listed:</b>
SAME AS	8 (a)			

**10. Name(s) and Address(es) of Subsidiary(ies)**

<b>Name:</b>	<b>Address:</b>	<b>Percentage Ownership:</b>	<b>Name of the Exchange(s) on which the company's securities are listed:</b>
See pages	149 and	pages 169 - 171	Barbados Stock Exchange Inc.
of the 2021	Annual Report		Trinidad and Tobago Stock Exchange Limited

**11. Name(s) and Address(es) of Affiliate(s)**

<b>Name:</b>	<b>Address:</b>	<b>Name of the Exchange(s) on which the company's securities are listed:</b>
Not Applicable		



**SIGNATURES**

A Director, the Chief Executive and Corporate Secretary shall sign this Registration Statement on behalf of the company. By so doing each certifies that he has made diligent efforts to verify the material accuracy and completeness of the information herein contained.

Name of Chief Executive Officer:

Name of Director:

**COLETTE DELANEY**

**MARK ST. HILL**

SIGNED AND CERTIFIED

SIGNED AND CERTIFIED

Signature

Signature

**FEBRUARY 25, 2022**

**FEBRUARY 25, 2022**

Date

Date

Name of Corporate Secretary:

**BRIAN CLARKE**

SIGNED AND CERTIFIED

Signature

**FEBRUARY 25, 2022**

Date